

**Commonwealth of Pennsylvania**  
**PENNSYLVANIA DEPARTMENT OF REVENUE**

**BOND FORM FOR BROKER REGISTRATIONS**

Know all men by these presents, that \_\_\_\_\_ (Name of Individual applying for Registration)  
Of \_\_\_\_\_ (Address, City, State, Zip) hereinafter referred to as the principal,  
and \_\_\_\_\_ (Bonding Co. - Surety), a corporation organized and existing under the laws of  
the State of \_\_\_\_\_ and authorized to do business in the State of Pennsylvania, as surety, are held and firmly  
bound unto Commonwealth of Pennsylvania – Pennsylvania Department of Revenue herein after referred to as obligee, in  
the sum of **\$50,000** lawful money of the United States of America, to the payment of which sum, well and truly to be made,  
we bind ourselves, our executors, administrators, successors and assigns, firmly by these presents.

The condition of this obligation is such, that whereas, the principal has made application for a registration to the obligee for  
the purpose of, or to exercise the vocation of **a Broker, as that term is defined in section 1701-A.1 of the Tax Reform  
Code of 1971.**

**This bond shall be conditioned upon the faithful performance by the broker of his obligations under Article XVII-  
A.1 of the Tax Reform Code of 1971, 72 P.S. §§ 8701-A.1 – 8709-A.1 (relating to Tax Credit and Tax Benefit  
Administration), as well as the statutory provisions of the relevant tax credit or tax benefit and the applicable rules  
and regulations promulgated thereunder.**

Now, therefore, if the principal shall faithfully comply with all laws, rules and regulations which have been or may hereafter  
be in force concerning said registration, and shall save and keep harmless the obligee from all loss or damage which it may  
sustain or for which it may become liable on account of the issuance of said registration to the principal, then this obligation  
shall be void; otherwise, to remain in full force and effect.

This bond will expire on \_\_\_\_\_ (DATE), but may be continued by continuation certificate signed  
by principal and surety. The surety may at any time terminate its liability by giving thirty (30) days written notice to the  
obligee, and the surety shall not be liable for any default after such thirty day notice period, except for defaults occurring  
prior thereto.

Signed, Sealed and Dated this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_\_.

**Principal:** \_\_\_\_\_

By: \_\_\_\_\_ By: \_\_\_\_\_

Name: \_\_\_\_\_ Name: \_\_\_\_\_

Title: \_\_\_\_\_ Title: \_\_\_\_\_

**Bonding Company:**

EIN NUMBER (Federal ID Number) = \_\_\_\_\_ Surety: \_\_\_\_\_

By: \_\_\_\_\_ By: \_\_\_\_\_

Name: \_\_\_\_\_ Name: \_\_\_\_\_

\_\_\_\_\_  
Qualified Pennsylvania Resident Agent (if required)

\_\_\_\_\_  
Title (Attach Attorney In Fact if required)

This bond form is approved as to form and legality by:

Office of Economic Development on \_\_\_\_\_ (Date) by \_\_\_\_\_ ( Director)

Department of Revenue on \_\_\_\_\_ (Date) by \_\_\_\_\_ (Chief Counsel)

**COMMONWEALTH OF PENNSYLVANIA**  
**PENNSYLVANIA DEPARTMENT OF REVENUE**

**Instructions for Bond Form – Broker Registration**

The Corporate Surety, if signing by an Attorney In Fact, shall attach to the bond a Power of Attorney bearing a certification date **the same as**, or subsequent to, the **date of the Bond**. Out-of-state corporate sureties signed outside of the Commonwealth of Pennsylvania shall have said bond countersigned by a Qualified Pennsylvania Resident Agent.

**\*\* BOND MUST BE ON FILE WITH THE DEPARTMENT BEFORE REGISTRATION IS VALID \*\***

**Name of Individual applying for Registration:** \_\_\_\_\_

**Address:** \_\_\_\_\_

**City:** \_\_\_\_\_ **State:** \_\_\_\_\_ **Zip Code:** \_\_\_\_\_

**Amount** **\$ 50,000.00**

**Expiration Date:** \_\_\_\_\_